



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

November 14, 2005

FISH & RICHARDSON PC  
P.O. BOX 1022  
MINNEAPOLIS, MN 55440-1022  
US

Dear Sir/Madam,

Your refund request for 09840188 in the amount of \$1,739.00 has been denied.

Small entity status fee not refundable. The time has expired for the refund of this fee. A refund based on establishment of small entity status may only be obtained if a verified statement under 37 CFR 1.27 and a request for the refund of excess amount are filed within three months of timely payment of the full fee (37 CFR 1.28).

Sincerely,

ELEANOR KURTZ  
Technical Center Others  
703 308-9010 x177



Attorney's Docket No.: 17299-008002

Dep's Ref

PATENT & TRADEMARK OFFICE

703 SEP 29 FEE 28

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ulf Dahl  
Serial No. : 09/840,188  
Filed : April 24, 2001  
Title : DATA SECURITY SYSTEM FOR A DATABASE

Art Unit : 2132  
Examiner : Jung W. Kim US PATENT & TRADEMARK OFFICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Pursuant to Rule 1.26, Applicant requests a refund of fees paid by mistake and in excess of that required. The application was filed with a large entity filing fee. This resulted in the erroneous designation of the assignee as a large entity. In fact, the assignee has always had fewer than 500 employees and has always been entitled to small entity status.

Pursuant to Rule 1.27(c) Applicant's attorney confirms that the assignee is entitled to small entity status and intends to claim such status for this application.

Accordingly, Applicant requests a refund of the following fees:

one half of \$710.00 filing fee paid by check on April 24, 2001 .....\$355.00  
one half of \$1020.00 extension fees paid by check on May 16, 2005 .....\$510.00  
one half of \$300.00 excess claim fees paid by check on May 16, 2005 .....\$150.00  
one half of the \$440 and \$1,008.00 fees that were debited from PTO deposit account no. 06-1050 on August 9, 2005 .....\$724.00

**TOTAL REFUND.....1739.00**

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

September 22, 2005

Signature

Iria Zarembok  
Typed or Printed Name of Person Signing Certificate

**BEST AVAILABLE COPY**

Applicant : Ulf Dahl  
Serial No. : 09/840,188  
Filed : April 24, 2001  
Page : 2 of 2

Attorney's Docket No.: 17299-008002

Enclosed is a copy of the deposit account statement showing the debit from the PTO deposit account.

Applicants respectfully request that the overcharged amounts be refunded to Fish & Richardson's Deposit Account No. 06-1050 as a credit referencing attorney docket "17299-008002."

Respectfully submitted,

Date: 9/22/05



Faustino A. Lichauco  
Reg. No. 41,942

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

21171260.doc

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DEP'S REF  
Attorney's Docket No.: 17299-008002

PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2005 SEP 29 PM 11:28

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### Deposit Account Statement

Page 6 of 13

08/08	237	10966022	09991-14700)	8021	\$40.00	\$111,160.55
08/08	238	10966019	09991-16800)	8021	\$40.00	\$111,120.55
08/08	239	10966205	09991-16900)	8021	\$40.00	\$111,080.55
08/08	240	10966023	09991-17000)	8021	\$40.00	\$111,040.55
08/08	241	10966024	09991-17100)	8021	\$40.00	\$111,000.55
08/08	242	10873095	09991-17300)	8021	\$40.00	\$110,960.55
08/08	243	11031439	09991-17400)	8021	\$40.00	\$110,920.55
08/08	244	10936440	09991-17700)	8021	\$40.00	\$110,880.55
08/08	245	10946355	09991-17800)	8021	\$40.00	\$110,840.55
08/08	246	10977298	09991-18100)	8021	\$40.00	\$110,800.55
08/08	247	10981072	09991-18200)	8021	\$40.00	\$110,760.55
08/08	248	60621507	09991-012002	8021	\$40.00	\$110,720.55
08/08	249	10943560	09991-18600)	8021	\$40.00	\$110,680.55
08/08	250	60679401	09991-188P0)	8021	\$40.00	\$110,640.55
08/08	251	60637254	09991-189P0)	8021	\$40.00	\$110,600.55
08/08	252	10967012	09991-19000)	8021	\$40.00	\$110,560.55
08/08	253	10981888	09991-19100)	8021	\$40.00	\$110,520.55
08/08	254	11043841	09991-19200)	8021	\$40.00	\$110,480.55
08/08	255	11045962	09991-19300)	8021	\$40.00	\$110,440.55
08/08	256	11045963	09991-19500)	8021	\$40.00	\$110,400.55
08/08	257	60640538	09991-19700)	8021	\$40.00	\$110,360.55
08/08	258	60633240	09991-200P0)	8021	\$40.00	\$110,320.55
08/08	259	60633137	09991-201P0)	8021	\$40.00	\$110,280.55
08/08	260	60632803	09991-202P0)	8021	\$40.00	\$110,240.55
08/08	261	60632802	09991-203P0)	8021	\$40.00	\$110,200.55
08/08	262	60633187	09991-204P0)	8021	\$40.00	\$110,160.55
08/08	263	60657052	09991-220P0)	8021	\$40.00	\$110,120.55
08/08	264	11118293	09991-22200)	8021	\$40.00	\$110,080.55
08/08	287	60682695	10638-078P04	8021	\$40.00	\$110,040.55
08/08	320	11025594	13909-162001/2004P00142US	8021	\$40.00	\$110,000.55
08/08	399	11095054	13907-097001/2004P00874US	8021	\$40.00	\$109,960.55
08/08	416	10879689	9991-8002	8021	\$40.00	\$109,920.55
08/08	493	11089668	13751-046US2	8021	\$40.00	\$109,880.55
08/08	518	11124434	17744-007001	8021	\$40.00	\$109,840.55
08/08	529	10510971	14875-134US1	8021	\$40.00	\$109,800.55
08/08	568	10509343	14875-133US1	8021	\$40.00	\$109,760.55
08/08	1818	78396846	13259-042001	7003	\$100.00	\$109,660.55
08/09	2	10119627	13854-037001	1814	-\$130.00	\$109,790.55
08/09	3	10119627	13854-037001	1251	\$120.00	\$109,670.55
08/09	3	09712855	09595-004002	1251	\$120.00	\$109,550.55
08/09	5	09840188	17299-8002	1201	\$440.00	\$109,110.55
08/09	6	09840188	17299-8002	1202	\$1,008.00	\$108,102.55
08/09	7	60692037	14184-064P01	2085	\$750.00	\$107,352.55
08/09	9	60692327	14202-037P01	2085	\$125.00	\$107,227.55
08/09	13	78340155	10691-183PP1	7402	\$300.00	\$106,927.55
08/09	20	11117070	06666-195001/USC 3507	2011	\$150.00	\$106,777.55
08/09	21	11117070	06666-195001/USC 3507	2051	\$65.00	\$106,712.55
08/09	22	11117070	06666-195001/USC 3507	2111	\$250.00	\$106,462.55

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